Self-consistent mean field methods

General method for problems with

- multiple sites
- each site exists in different states
- each site interacts with other sites

History

- probably Ising spin model
- application different to this one

Aims

- find optimal set of states or
- find distribution of states at a given temperature

examples

Relevant to us

- protein side chains
- RNA base pairing
- sequence design

Historic / simple

• spin systems

Not here

- wave functions (standard method)
- polymer properties

Plan

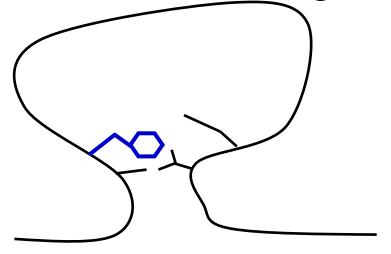
- some example problems
- Boltzmann relation
- examples in detail

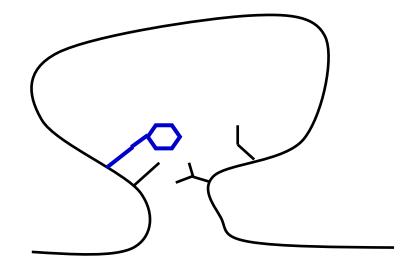
Examples

- common feature
- parts of a system exist in some number of states
- parts of a system interact with each other

Protein side chains

- optimise (energy) their coordinates
- each interacts with his neighbours



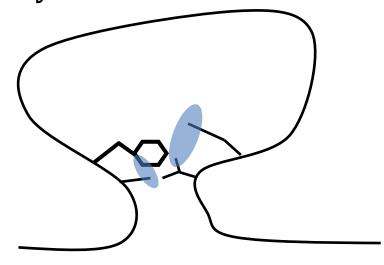


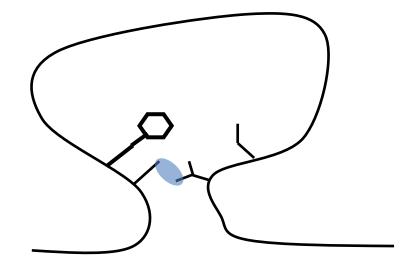
Simplification

- each sidechain can exist in one of *m* positions
 - say m = 3

Protein side chains

How many interactions?





Make one interaction and break another

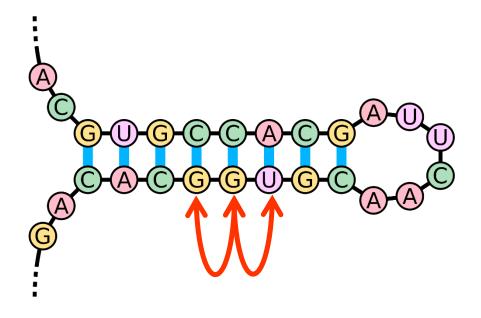
what is the best combination?

How big is the search space?

- n sidechains each has m configurations = m^n
- for m = 3 we have $3^n = \text{very many}$

Sequence Design

RNA, but could be proteins, DNA



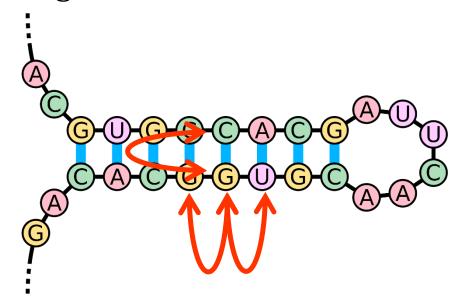
How are energies calculated?

- 1. base pairs across chain
- 2. sequence neighbours base stacking

Sequence Design

Best energy

- change one base
 - affects neighbours
 - across
 - along chain



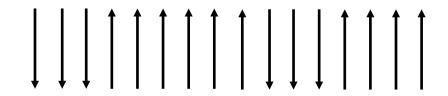
- m = 4 base types
- n = length positions
- m^n possibilities (search space)

magnetism / spin models

Not bioinformatics? Classic / historic

Energy (no external field)

$$V = -c \sum_{i=1}^{n-1} \sigma_i \sigma_{i+1}$$



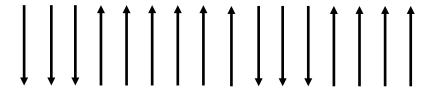
- 2^n possible arrangements
- flip one spin to fit to left neighbour
 - might break interaction to right neighbour

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c some constant \sigma_i vector – which way is spin i pointing?
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magnetism / spin models

Toy example? You know the optimal answer(s)

Systems with more states / more complicated interactions



Do not always want the optimum

distribution as a function of temperature

More examples

Electronic configuration of a small system (n electrons)

- shells *s*, *p*, *d*, ...
- electrons have spin $(\uparrow\downarrow)$
- each electron interacts with every other electron
- put an electron in a certain *p* orbital
 - changes probability of neighbours
 - changing their probabilities changes

Common properties

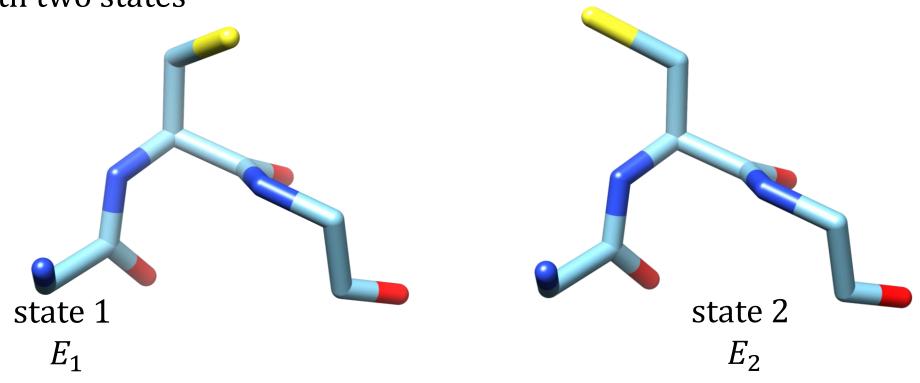
- *n* sites
- *m* states
 - *m*^{*n*} search space
- changing state at *i* affects site *j* which affects site *k* ...
- sites are not independent
 - you cannot optimise i, then j, then k, ...

General approach

mean field methods / self-consistent mean field methods

Boltzmann .. the detour

Site with two states



Energy difference $\Delta E = E_1 - E_2$ what is the ratio of populations ?

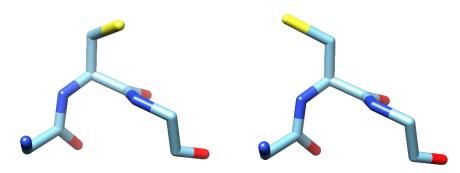
Boltzmann

$$\frac{p_i}{p_j} = e^{-\Delta E_{/kT}}$$

why should you believe me?

$$\ln \frac{p_i}{p_i} = -\frac{\Delta E}{kT}$$

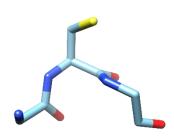
$$\Delta E = -kT \ln \frac{p_i}{p_j}$$
 which looks like $\Delta G = -RT \ln \frac{[A]}{[B]}$ in the reaction $A \rightleftharpoons B$

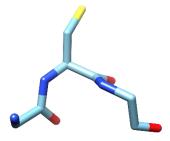


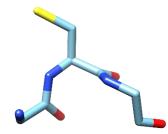
Boltzmann – more states

$$\frac{p_i}{p_j} = e^{-\Delta E/kT} \quad \text{but we could also say } \frac{p_i}{p_j} = \frac{\exp(\frac{-E_1}{kT})}{\exp(\frac{-E_2}{kT})}$$

 $e^{\frac{-E_i}{kT}}$ is the Boltzmann weight of i What if I have three states?







$$p_1 = \frac{\exp(\frac{-E_1}{kT})}{\exp(\frac{-E_1}{kT}) + \exp(\frac{-E_2}{kT}) + \exp(\frac{-E_2}{kT})}$$
 what about m states?

Boltzmann – *n* states

$$p_1 = \frac{\exp(\frac{-E_1}{kT})}{\exp(\frac{-E_1}{kT}) + \exp(\frac{-E_2}{kT}) + \exp(\frac{-E_2}{kT})}$$

generalises to

$$p_i = \frac{\exp\left(\frac{-E_i}{kT}\right)}{\sum_{j}^{m} \exp\left(\frac{-E_j}{kT}\right)}$$

will be used over and over again

Distributions

Simple system with two states

$$\frac{p_i}{p_j} = e^{-\Delta E/_{kT}}$$

At T=0, $\frac{-\Delta E}{kT}$ becomes huge, negative all the probability goes to lowest energy state

At
$$T \gg 0$$
, $\frac{-\Delta E}{kT}$ goes towards 0, $e^0 = 1$ at high temperature, $p_i \approx p_j$

For in-between ...

Optima and Distributions

$$T = 0$$
 or $T = 300$ K or $T = 10^{10}$?

For simulations of the real world

$$T = 300 \text{ K}$$

To find the optimum

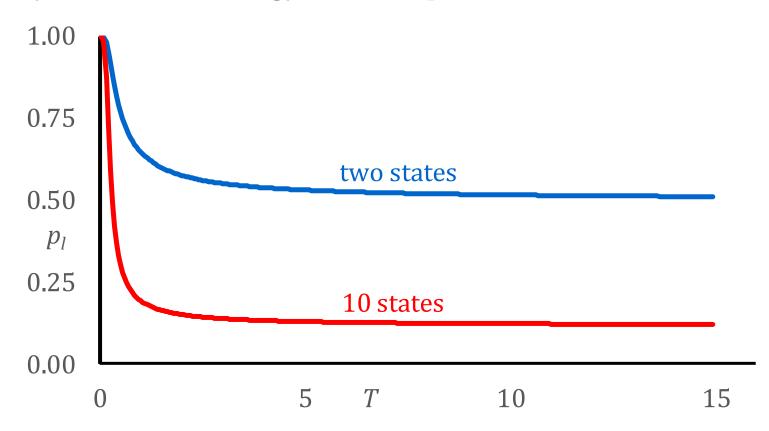
$$T = 0$$

T is

- real temperature or
- a convergence parameter
 - as the system cools, it is pushed to lower energy states

Probability as function of temperature

Probability of lowest energy state depend on *T*



Real world or optimisation?

Simulations?

distributions of states

Answers

- rotamer distributions
- base-pairing
- sequence design
 - just the optimum

For these lectures

• best solution at T = 0

Philosophy

- start system at high temperature
 - all states are equally likely
 - each part of the system feels the average of its neighbours
- gradually cool
 - each site moves to lowest energy states

Can we just look at lowest energy state in one step?

no

 $A_1 \longleftrightarrow A_2 \longleftrightarrow A_3$ Each site affects his neighbours $D_1 \leftrightarrow D_2 \leftrightarrow D_3$ $B_1 \longleftrightarrow B_2 \longleftrightarrow B_3$ $C_1 \leftrightarrow C_2 \leftrightarrow C_3$

Cannot know the optimum of A since we do not yet know B, C or D

The state of A affects B affects ...

We have much bigger networks (many sites)

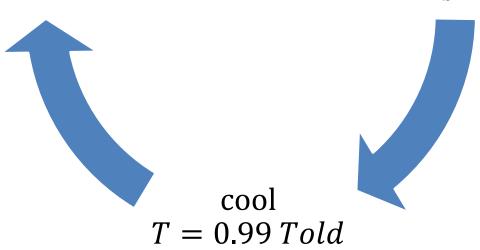
• adjust one a little bit, cool a bit...



update probabilities

calculate energies
$$E_{i_{,}a}$$

$$p_{i,a} = \frac{e^{\frac{-E_{i,a}}{kT}}}{\sum_{b} e^{\frac{-E_{i,}}{kT}}}$$



Examples – Sidechain conformations

Assume

- some model for energy
- discretisation sidechain rotamers
 - residue *i* can exist in *m* conformations

Energy depends on

- neighbours
- interactions with backbone
- Example .. side chain with 3 positions

Work through a calculation

Use a, b, c for states... Use i, j, ... for sites Consider one side chain at site i





- 3 states (for example)
- we want probability $p_{i,a}$ in each state a

What are the interactions of sidechain *i* ? Consider neighbour *j*

- j has a probability $p_{j,a}$ of being in state a (for all the different a)
- use the mean field

mean field

Say E(i,j) is the energy of sites i and j interacting, but be more specific $E(i_a,j_b)$ is the energy due to i in state a with j in state b

We do not know the state of j, but we do know the probabilities

$$E(i_a, j) = \sum_{b}^{m_{states}} (p_{j,b} E(i_a, j_b))$$

this is for one neighbour, but we want the total energy $E_{i,a}$

$$E_{i,a} = \sum_{j}^{n_{neighbour}} \left(\sum_{b}^{m_{states}} (p_{j,b} E(i_a, j_b)) \right)$$

Summation over all states of neighbours – mean field

Now have $E_{i,a}$

• repeat for each state a use the Boltzmann rule to get the probabilities

• from
$$p_{i,a} = \frac{\exp{\frac{-E_a}{kT}}}{\sum_{b=1}^{N_{states}} \exp{\binom{-E_b}{kT}}}$$







In words...

for each site i

for each state a

for each neighbour *j*

for each state b

add in $p_{j,b} E(i_a, j_b)$

$$E_{i,a} = E_{i,a} + p(j,b)E(i_a,j_b)$$

still not finished

Why cool?

Remember $\Delta G = -RT \ln \frac{[A]}{[B]}$ in the reaction $A \rightleftharpoons B$ so $\frac{[A]}{[B]} = e^{-\frac{\Delta G}{RT}}$

- if $T \neq 0$, we get an equilibrium, not an answer
- reason for...

calculate energies $E_{i a}$



$$p_{i,a} = \frac{e^{\frac{-E_{i,a}}{kT}}}{\sum_{b} e^{\frac{-E_{i,}}{kT}}}$$





cool T = 0.99 Told

Not quite finished - initialisation

Starting a calculation

- calculating $E_{i,a}$ requires knowing $p_{j,b}$ for each site j in each state b
- at the start, set all $p_{j,b}$ to $^1/_{m_{state}}$

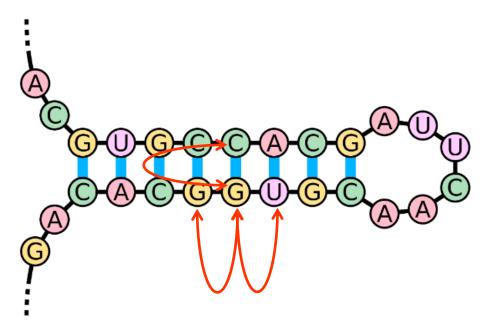
Sequence optimisation - Another example

The question

- Given a structure, find a better sequence for it
 - some energy / scoring scheme

RNA or protein? RNA in 2D is easier – energies dominated by

- base pairing
- neighbours of i (i 1 and i + 1)



Sequence design – the philosophy

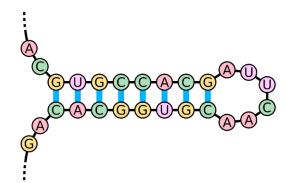
- sequence length n
- each site *i*
 - can be in one of four states (A, C, G, U)



- for each site *i* in sequence, calculate energy interacting with neighbours in each state
 - for one neighbour

$$E(i_a, j) = \sum_{b}^{m_{states}} (p_{j,b} E(i_a, j_b))$$

• where the summation runs over m_{states} (A, C, G, U)

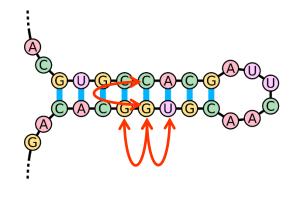


for all neighbours

$$E_{i,a} = \sum_{j}^{n_{neighbour}} \left(\sum_{b}^{m_{states}} (p_{j,b} E(i_a, j_b)) \right)$$

- neighbours are very clear here..
- then probabilities of states, at each site *i*

$$p_{i,a} = \frac{\exp\frac{-E_{i,a}}{kT}}{\sum_{b} \exp\frac{-E_{i,b}}{kT}}$$



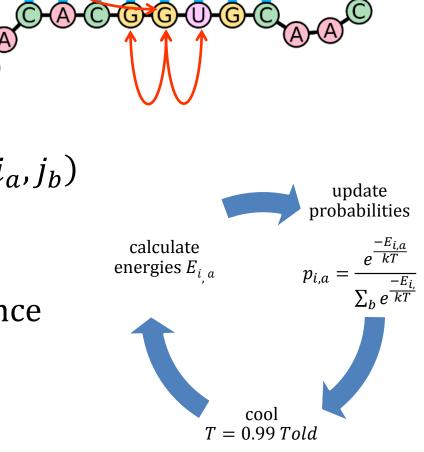
look at loops explicitly...

loops for sequence optimisation

for each ifor each state a of ifor each neighbour jfor each state b of jcalculate $p_{j,b}$ $E(i_a,j_b)$ store $E_{i,a} = E_{i,a} + p_{j,b}E(i_a,j_b)$

Conceptually

- at the start probabilities are all equal no sequence
- sequence emerges at $T \to 0$



Practicalities – problems - cooling

How fast does one cool?

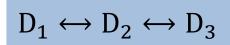
- $T_{t+\delta t} = 0.99 T_t$? No. Just an example
- as in simulated annealing, cool as slowly as necessary

How slow? Remember

• State at A affects B affects C affects D ... A

 cool slowly enough to let changes propagate / diffuse

$$A_1 \longleftrightarrow A_2 \longleftrightarrow A_3$$



$$B_1 \longleftrightarrow B_2 \longleftrightarrow B_3$$

$$C_1 \longleftrightarrow C_2 \longleftrightarrow C_3$$

Practicalities

Is *T* a real temperature ?

- here .. No.
- in some problems.. could be

Convergence – guaranteed?

• no

Symmetries

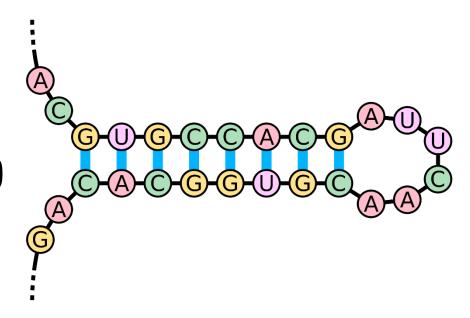
What if all rotamers have the same energy?

- will it happen? No
- think of interactions with backbone
 - some E_a will be better than others

RNA problem

not as symmetric as it looks?

What if it happens anyway? (same energies for different states)



Symmetries

Symmetry problems

$$p_{i,a} = \frac{1}{n_a}$$

but use

$$p_{i,a} = \frac{1}{n_a} \pm \delta$$
 for some very small δ

this is enough to make one solution preferred and dominate

Lots more problems – not here

• cooling, phase transitions, oscillations, ..

Convergence

While cooling, monitor convergence

- how frozen is a system?
 In these systems, easy to measure
- at any site, can measure entropy

$$S = -\sum_{a=1}^{m_{states}} p_a \log p_a$$

maximum entropy if all states equal

$$S_{max} = -m p_a \log p_a = -m \frac{1}{m} \log \frac{1}{m} = \log m$$

minimum

$$S_{min}=0$$

Convergence

entropy

- sum over all positions
- for fun consider $\log_m p_a$ gives nice normalisation

what else can one do?

- RNA base-pairing
- sequence alignments (very difficult)
- graph problems knapsack, bipartition
- time to stop

Summarise

Easiest on systems

- which can be discretised
- probabilities can be calculated

Works well on

- systems with many interacting parts too big to tackle by other means
- lots of graph problems

Philosophy

- system visits all states at start
- cooling persuades it to find an answer